



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER 08/835,482	FILING/RECEIPT DATE 04/08/97	FIRST NAMED APPLICANT RUBIN	ATTORNEY DOCKET NO./TITLE A 002
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GILDO E FATO  
 515 ASH STREET  
 LIBERTYVILLE IL 60048

0222/0915

NOT ASSIGNED

DATE MAILED: 1502

09/15/97

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 575.00.

- The statutory basic filing fee is:
  - ☐ missing.
  - ☒ insufficient.
 Applicant must submit \$ 385.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).
- Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- The oath or declaration:
  - ☐ is missing.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
  - ☐ does not include the city and state or foreign country of applicant's residence.
 An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application the above Application Number and Filing Date is required.
- The signature(s) to the oath or declaration is/are:
  - ☐ missing.
  - ☐ by a person other than inventor or person qualified under 37 CFR 1.42.
 A properly signed oath or declaration in compliance with 37 CFR 1.42 Application Number and Filing Date, is required.
- The signature of the following joint inventor: UNSE
  - ☐ An oath or declaration listing the above Application Number.
- A \$ \_\_\_\_\_ process
- Your filing receipt was mailed
- The application does not conform  
 See attached "Notice to Comply"
- OTHER: Small  
 Direct the response and any questions to: ELISHA A CO  
 Customer Service Center  
 Initial Patent Examination Division

U.S. GPO: 1988-404-482/40515



NEW PATENT SPEC  
Small Entity

002

THE STAMP OF THE PATENT OFFICE HEREON ACKNOWLEDGES THE RECEIPT, ON THE DATE INDICATED, OF THE FOLLOWING:

APPL.:  
SN:  
FILED:  
TITLE:

ALAN A. RUBIN

UNKNOWN

APRIL 8, 1997

IMPROVEMENT IN TREATMENT OF

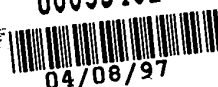
PARKINSON'S DISEASE AND RELATED DISORDERS  
BY NOVEL FORMULATIONS OF THE COMBINATION  
CARBIDOPA-LEVODOPA

ATTORNEY:

G. E. FATO

PGS OF SPEC: 5 + ABSTRACT PGS OF CLAIMS: 2  
OATH ATTACHED N/A DRAWINGS 0

08835482



04/08/97

VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS  
INDEPENDENT INVENTOR

002

THE STAMP OF THE PATENT OFFICE HEREON ACKNOWLEDGES  
THE RECEIPT, ON THE DATE INDICATED, OF THE FOLLOWING:

APPLNT  
USSN  
FILED  
FOR

ALAN A. RUBIN

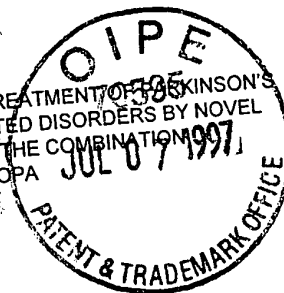
08/835,482

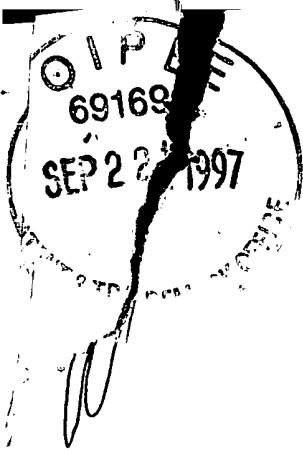
APRIL 8, 1997

IMPROVEMENT IN TREATMENT OF PARKINSON'S  
DISEASE AND RELATED DISORDERS BY NOVEL  
FORMULATIONS OF THE COMBINATION  
CARBIDOPA-LEVODOPA

INVENTOR:

G. E. FATO






\$ 0300 #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	CASE NO: 002
ALAN A. RUBIN	GROUP ART UNIT: UNKNOWN
APPLICATION NO.: 08/835,482	EXAMINER: UNKNOWN
FILED: APRIL 8, 1997 2706	LIBERTYVILLE, IL 60048-
FOR: IMPROVEMENT IN TREATMENT OF PARKINSON'S DISEASE AND RELATED DISORDERS BY NOVEL FORMULATIONS OF THE COMBINATION CARBIDOPA-LEVODOPA	I 

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

The above NOTICE indicates that the small entity statement is missing. However, as noted by the copy of the return receipt postcard which is enclosed, a verified statement claiming small entity status by an independent inventor was submitted and received by the Patent and Trademark Office on July 7, 1997. A copy of the statement is enclosed.

A copy of the above NOTICE is enclosed.

It is submitted that the small entity statement was timely submitted and no additional fees are required. Acceptance of the small entity is respectfully requested.

Respectfully submitted,

*Gildo E. Fato*

Gildo E. Fato  
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Telephone: 847 816-3753

Enclosure  
Libertyville, IL  
September 19, 1997